SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addres <u>Dowd Joseph</u>	ss of Reporting Perso	n*	2. Issuer Name and Ticker or Trading Symbol <u>Koppers Holdings Inc.</u> [KOP]		tionship of Reporting Per all applicable) Director	10% Owner
(Last) (First) (Middle) 436 SEVENTH AVENUE		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/22/2018	X	Officer (give title below) Global VP, SHE & 1	Other (specify below) Process Excel
(Street) PITTSBURGH	РА	15219	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filin Form filed by One Rep Form filed by More tha	porting Person
(City)	(State)	(Zip)			Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

· · · ·										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	05/22/2018		М		5,261	Α	\$17.57	23,257.8633(1)	D	
Common Stock	05/22/2018		S		5,261	D	\$40.65(2)	17,996.8633	D	
Common Stock	05/23/2018		М		404	A	\$17.57	18,400.8633	D	
Common Stock	05/23/2018		S		404	D	\$40.5	17,996.8633	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Secu Acq (A) o Disp of (E (Inst	5. Number of Derivative Acquired A) or Disposed of (D) Instr. 3, 4 and 5)		e	nd 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
Employee Stock Options (Rights to Buy)	\$17.57	05/22/2018		М			5,261	03/03/2016 ⁽³⁾	03/03/2025	Common Stock	5,261	\$0.00	11,149	D			
Employee Stock Options (Rights to Buy)	\$17.57	05/23/2018		М			404	03/03/2016 ⁽³⁾	03/03/2025	Common Stock	404	\$0.00	10,745	D			

Explanation of Responses:

1. Includes 188 shares of Koppers Holdings Inc. common stock acquired pursuant to the Koppers Holdings Inc. Employee Stock Purchase Plan ("ESPP") on March 29, 2018. These acquisitions were exempt under both Rule 16b-3(c) and Rule 16b-3(d).

2. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.50 to \$41.00, inclusive. The reporting person undertakes to provide to the Securities and Exchange Commission, the issuer or any security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price. 3. These options vest in annual installments of 25 percent over four years.

Remarks:

/s/Steven R. Lacy, Attorney-in-05/24/2018 Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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