SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

I OMB APPROVAL

0	OMB Number: 3235-0287							
E	Estimated average burden							
h	ours per response:	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Sullivan James A.			2. Issuer Name and Ticker or Trading Symbol Koppers Holdings Inc. [KOP]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			
(Last) 436 SEVENTH	(First) AVENUE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/03/2021	x	Officer (give title below) EVP, Chief Opera	Other (specify below)	
(Street) PITTSBURGH	РА	15219	4. If Amendment, Date of Original Filed (Month/Day/Year)		dividual or Joint/Group Filing (Check Applicable) K Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip)	vative Securities Acquired, Disposed of, or Benef	icially	Person Owned		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Dis Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/03/2021		М		484	A	(1)	60,026.191	D	
Common Stock	03/03/2021		F		1,303	D ⁽²⁾	\$35.72	58,723.191	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date 7. Title and Amount of 11. Nature of Indirect 3. Transaction Date 5. Numbe 6. Date Exercisable and 8. Price of 9. Number of 10. Conversion Derivative Transaction of Expiration Date derivative Ownership Code (Instr. 8) Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Derivative (Month/Day/Year) Securities Underlying Security (Instr. 5) Form: Direct (D) Beneficial Ownership Securities Beneficially Securities Derivative Acquired Derivative Security Owned or Indirect (Instr. 4) (A) or Disposed Following Reported Security (Instr. 3 and 4) (I) (Instr. 4) of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount

Date

Exercisab

(3)

Expiration

(3)

Date

Explanation of Responses:

(1)

1. Restricted stock units convert into common stock on a one-for-one basis.

03/03/2021

2. Shares surrendered to the issuer by the reporting person as payment for tax withholding related to the vesting of time-based restricted stock units.

Code

м

3. On March 3, 2017, the reporting person was granted restricted stock units, vesting in four equal annual installments beginning on the first anniversary of the grant date.

(A) (D)

484

Remarks:

Restricted

Stock

Units



Number

Shares

484

of

Title

Commor

Stock

03/05/2021

\$0.00

** Signature of Reporting Person

Date

0

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.