SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Section 16. Form obligations may c		01/1		01#11020				11	Estimated average bu	rden 0.5	
Instruction 1(b).				t to Section 16(a) o tion 30(h) of the Inv		es Exchange Act of 1934 npany Act of 1940			ours per response:		
1. Name and Addres		son*		r Name and Ticker ers Holdings				ionship of Repo all applicable) Director Officer (give ti		Issuer o Owner er (specify	
(Last) 436 SEVENTH	(First) AVENUE	(Middle)	3. Date 03/03/	of Earliest Transact 2020	tion (Month/D	ay/Year)	X	below)	CFO		
(Street)			4. If Am	endment, Date of C	Driginal Filed ((Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
PITTSBURGH	PA	15219					X	,	One Reporting Pe		
(City)	(State)	(Zip)						Form filed by Person	More than One Re	porting	
		Table I - Nor	n-Derivative S	ecurities Acqu	uired, Disp	oosed of, or Benefic	cially C	wned			
1. Title of Security	(Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirec	Indirect	

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)					Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	03/03/2020		М		5,211	A	(1)	56,922	D	
Common Stock	03/03/2020		М		521	A	(1)	57,443	D	
Common Stock	03/03/2020		F ⁽²⁾		2,391	D	\$19.63	55,052	D	
Common Stock ⁽³⁾	03/03/2020		A		521	A	\$0.00	55,573	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	03/03/2020		М			5,211	(4)	(4)	Common Stock	5,211	\$0.00	0	D	
Restricted Stock Units	(1)	03/03/2020		М			521	(5)	(5)	Common Stock	521	\$0.00	521	D	
Employee Stock Options (Right to Buy)	\$19.63	03/03/2020		A		17,896		(6)	03/03/2030	Common Stock	17,896	\$0.00	17,896	D	

Explanation of Responses:

1. Restricted stock units convert into common stock on a one-for-one basis.

2. Shares surrendered to the issuer by the reporting person as payment for tax withholding related to the vesting of time-based restricted stock units and performance share units.

3. The reporting person was awarded time-based restricted stock units on March 3, 2020, which will vest in annual installments of 25 percent over four years.

4. Represents previously granted performance share units for which the performance criteria for the three-year performance period from January 1, 2017 through December 31, 2019 have been satisfied, as reported in the reporting person's Form 4 filed on February 13, 2020, as amended on February 18, 2020.

5. On March 3, 2017, the reporting person was granted restricted stock units, vesting in four equal annual installments beginning on the first anniversary of the grant date.

6. The reporting person was granted stock options on March 3, 2020, which will vest in annual installments of 25 percent over four years.

Remarks:

/s/Stephanie L. Apostolou,

Attorney in Fact

03/05/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.