SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres		erson*	2. Issuer Name and Ticker or Trading Symbol Koppers Holdings Inc. [KOP]		ationship of Reporting Pe < all applicable) Director	10% Owner
(Last) 436 SEVENTH	(First) AVENUE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/03/2023		Officer (give title below) General Counsel &	Other (specify below) & Secretary
(Street) PITTSBURGH	РА	15219	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Fili Form filed by One Re Form filed by More th	porting Person
(City)	(State)	(Zip)	rivative Securities Acquired, Disposed of, or Bene	ficially	Person	g

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock ⁽¹⁾	01/04/2023		A		6,222	A	\$0.00	30,195.8708 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 6. Date Exercisable and 8. Price of 9. Number of 11. Nature 5. Number 7. Title and 10. 2. Conversion Transaction Code (Instr. Date Expiration Date (Month/Day/Year) Derivative Execution Date. of Amount of Derivative derivative Ownership of Indirect if any Security (Instr. 5) Form: Direct (D) or Exercise (Month/Dav/Year) Derivative Securities Securities Beneficial Price of (Month/Day/Year) 8) Underlying Securities Beneficially Ownership Acquired (A) or Disposed of (D) Derivative **Derivative Security** Owned or Indirect (Instr. 4) Following Reported Security (Instr. 3 and 4) (I) (Instr. 4)

					(Instr. 3, 4 and 5)							(Instr. 4)		
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Dividend Equivalent Rights	(3)	01/03/2023	Α		88		(3)	(3)	Common Stock	88	\$0.00	88	D	

Explanation of Responses:

1. The reporting person was awarded time-based restricted stock units on January 4, 2023, which will vest in annual installments of one-third each over three years.

2. Includes 825 shares of Koppers Holdings Inc. common stock acquired pursuant to the Koppers Holdings Inc. Employee Stock Purchase Plan on March 31, 2022 and June 30, 2022. These acquisitions were exempt under both Rule 16b-3(c) and Rule 16b-3(d).

3. The dividend equivalent rights ("DERs") accrued with respect to additional time-based and performance-based restricted stock units ("RSUs" and "PSUs", respectively) credited to the reporting person. Of this total, 78 DERs accrued with respect to RSUs granted on March 6, 2019, March 3, 2020, January 4, 2021, and January 4, 2022, and 10 DERs accrued with respect to PSUs granted on January 4, 2021. Each DER is the economic equivalent of one share of Koppers Holdings Inc. common stock.

Remarks:

Security

(Instr. 3)

/s/Stephanie L. Apostolou

** Signature of Reporting Person

01/05/2023 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.