SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	ss of Reporting Perso	n [*]	2. Issuer Name and Ticker or Trading Symbol Koppers Holdings Inc. [KOP]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Sullivan James A.</u>			<u>Koppers Horangs me.</u> [Kor]		Director	10% Owner			
				x	Officer (give title	Other (specify			
(Last) (First) (Middle) 436 SEVENTH AVENUE			3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
		(inidalo)	03/03/2023		EVP, Chief Operatin	ng Officer			
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	vidual or Joint/Group Filing	(Check Applicable			
(Street)				Line)					
PITTSBURGH	PA	15219		X	Form filed by One Repo	rting Person			
					Form filed by More than	One Reporting			
(City)	(State)	(Zip)			Person				
(Oity)	(Sidie)	(² 1 ⁽²⁾							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	03/03/2023		М		19(1)	A	\$0.00 ⁽¹⁾	90,411.738	D	
Common Stock	03/03/2023		F		1,078	D ⁽²⁾	\$37.55	89,333.738	D	
Common Stock	03/06/2023		М		8(1)	A	\$0.00 ⁽¹⁾	89,341.738	D	
Common Stock	03/06/2023		F		449	D ⁽²⁾	\$35.46	88,892.738	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		tion of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Dividend Equivalent Rights	(3)	03/03/2023		М			19 ⁽³⁾	(3)	(3)	Common Stock	19	\$0.00	163	D	
Dividend Equivalent Rights	(4)	03/06/2023		М			8 ⁽⁴⁾	(4)	(4)	Common Stock	8	\$0.00	155	D	

Explanation of Responses:

1. Represents shares acquired upon release of dividend equivalent rights ("DERs"), as reported in Table II, on a one-for-one basis.

2. Shares surrendered to the issuer by the reporting person as payment for the tax withholding related to the vesting of time-based restricted stock units ("RSUs").

3. These DERs were released in connection with the vesting of RSUs granted on March 3, 2020. Each DER is the economic equivalent of one share of Koppers Holdings Inc. common stock.

4. These DERs were released in connection with the vesting of RSUs granted on March 6, 2019. Each DER is the economic equivalent of one share of Koppers Holdings Inc. common stock. Remarks:

> /s/Stephanie L. Apostolou, Attorney in Fact Date

03/07/2023

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.