SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB API	PROVAL
	2225.02

287 en 05

> 7. Nature of Indirect Beneficial Ownership (Instr. 4)

> > 11. Nature of Indirect Beneficial

Ownership

(Instr. 4)

C Section	his box if no lo 16. Form 4 or ons may contir		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP											Estim		erage burd	3235-02 en	
Instructi				d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940														
1. Name and Address of Reporting Person* Smith Jimmi Sue				Kopp	2. Issuer Name and Ticker or Trading Symbol <u>Koppers Holdings Inc.</u> [KOP]							k all applic: Directo Officer	or (give title		10% C Other	wner (specify		
(Last) 436 SEVI	(F ENTH AVI	,	(Middle)			 3. Date of Earliest Transaction (Month/Day/Year) 01/05/2022 4. If Amendment, Date of Original Filed (Month/Day/Year) 01/07/2022 							below)	CFO and	l Trea	below) <mark>surer</mark>		
(Street) PITTSBU	JRGH PA	ł	15219									6. Ind Line) X			e Filing (Check App Reporting Person			
(City)	(S	tate)	(Zip)		-									Form fi Person	ed by More than One Reporting			orting
		Tal	ole I - Nor	n-Deriv	ative Se	curities Acc	quire	ed, Di	isp	osed of	f, or Be	enefi	cially	Owned				
1. Title of Security (Instr. 3) 2. Transa Date (Month/D				Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)						4 and Securitie Benefici		es For ally (D) Following (I)		nership : Direct Indirect str. 4)	7. Natur Indirect Benefic Owners (Instr. 4)	
						Co	ode V		Amount	(A) c (D)	P P	rice	Transaction(s (Instr. 3 and 4				(1130.4)	
						urities Acqu s, warrants,								Owned				
Derivative Conversion Date Execution Date, T Security or Exercise (Month/Day/Year) if any C			4. Transaction Code (Instr. 8)	Derivative Expiration Date Amo Securities (Month/Day/Year) Secu Acquired (A) Undo or Disposed Deriv				7. Title a Amount Securitie Underly Derivatie (Instr. 3	of es ing ve Sec	urity	8. Price of Derivative Security [Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported	e s lly	10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Bene Owne t (Instr			

Date Exercisable

(3)

2. This Form 4 amendment is being filed to correct the number of performance share units awarded to the reporting person for the one-year performance period from January 1, 2021 through December 31, 2021. 3. On January 4, 2021, the reporting person was granted performance share units for which the performance criteria for the one-year performance period from January 1, 2021 through December 31, 2021 have been satisfied. All of the restricted stock units reported here are subject to vesting based on the continued service of the reporting person through January 5, 2024. If the Company's total shareholder return over the three-year period of January 1, 2021 through December 31, 2023 is negative, then the cumulative number of units that may vest for such three-year period will be capped at 150% of the target number.

(D)

Expiration Date

(3)

Title

Commor

Stock

Remarks:

Restricted

Stock

Units

(1)

Explanation of Responses:

/s/Stephanie L. Apostolou, Attorney in Fact

02/17/2022

(Instr. 4)

Transaction(s)

1,405

D

** Signature of Reporting Person Date

Amount

Number

Shares

1,405

\$0.00

of

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

01/05/2022

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

1. Restricted stock units represent the right to receive shares of common stock on a one-for-one basis and may be settled in cash or in shares of common stock.

Code

A

(A)

1,405⁽²⁾

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.