SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and Addres	1 0	n*	2. Issuer Name and Ticker or Trading Symbol Koppers Holdings Inc. [KOP]		5. Relationship of Reporting Person(s) to Issu Check all applicable)					
(Last) 436 SEVENTH	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/02/2018	х	Director Officer (give title below) SVP Global CM	10% Owner Other (specify below) 1&C				
(Street) PITTSBURGH PA 15219 (City) (State) (Zip)		15219 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	onth/Day/Year) 6. Individual or Joint/Group Filing (Chec Line) X Form filed by One Reporting F Form filed by More than One I Person						
	T		l ative Securities Acquired, Disposed of, or Benefic	cially C	Dwned					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
		(Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	() ((Instr. 4)
Common Stock	03/02/2018		Α		2,884	Α	\$0.00	17,233.191	D	
Common Stock	03/02/2018		М		483	Α	\$0.00 ⁽¹⁾	17,716.191	D	
Common Stock	03/02/2018		A		22,410	A ⁽²⁾	\$0.00	40,126.191	D	
Common Stock	03/02/2018		F		10,453	D ⁽³⁾	\$41.6	29,673.191 ⁽⁴⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

									/						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Options (Rights to Buy)	\$41.6	03/02/2018		A		11,009		(5)	03/02/2028	Common Stock	11,009	\$0.00	11,009	D	
Restricted Stock Units	(1)	03/02/2018		м		483		(6)	(6)	Common Stock	483	\$0.00	1,452	D	

Explanation of Responses:

1. Restricted stock units convert into common stock on a one-for-one basis.

2. Represents previously granted performance share units for which the performance criteria for the three-year performance period of 2015 through 2017 have been satisfied.

3. Shares surrendered to the issuer by the reporting person as payment for the tax withholding related to the vesting of time-based and performance-based restricted stock units.

4. Includes 500 shares of Koppers Holdings Inc. common stock acquired pursuant to the Koppers Holdings Inc. Employee Stock Purchase Plan ("ESPP") as of December 29, 2017, the date of the latest available statement of the reporting person's ESPP Holdings. These acquisitions were exempt under both Rule 16b-3(c) and Rule 16b-3(d).

5. The reporting person was granted stock options on March 2, 2018, which will vest in four equal annual installments, with the first installment vesting on March 2, 2019.

6. On March 3, 2017, the reporting person was granted restricted stock units, vesting in four equal annual installments beginning on the first anniversary of the grant date.

Remarks:

/s/Steven R. Lacy, Attorney-in-03/06/2018 Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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